A REVIEW OF RAMAN, SURFACE-ENHANCED RAMAN SCATTERING (SERS) AND RELATED SPECTROSCOPIC TECHNIQUES APPLIED TO BIOMOLECULES IN BIOMATERIALS

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ABSTRACT

The development of new biomaterials has gained increasing attention in the last decade. One of the most important aspects in the development of these new materials is to understand the chemical cues presents in the native niche. Among all the techniques currently available for measuring those interactions, Raman spectroscopy offers a unique and non-invasive tool for exploring the behavior of the components within a given biomaterial and their surrounding microenvironment. This technique exploits the unique molecular vibrational fingerprints for pinpointing those interactions. The vibrational response can be improved to the single molecule level, in the presence of metal nanoparticles (NPs) with plasmonic properties (silver, gold and copper) in the so-called Surface-Enhanced Raman Scattering (SERS), which can be used for in-situ measurements. Another technique recently developed is the Shell-Isolated Nanoparticle-Enhanced Raman Spectroscopy (SHINERS), which overcomes signal contamination from chemical interactions between biomolecules and the metal surface; it does this by coating the metal surface with an inert layer of alumina or silica. In the present contribution, the role and the applications of Raman, SERS and related spectroscopic techniques in the study of biomolecules in biomaterials are reviewed and discussed.

Keywords: Raman, SERS, Biomolecules, Biomaterials, Nanoparticles.

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1. Raman and SERS foundations.

The Raman effect was first described in 1928 by Sir Chandrasekhara Venkata Raman 1, being the development of this technique relevant when the laser sources became available in the 1960s. The uses for Raman spectroscopy is intrinsically limited by the weak signal generated from the inelastic light scattered by a molecule (Scheme 1a). For example, the cross-section light scatter for benzene is 2.8x10^-29 cm^2 molecule^-1. The efficiency can be enhanced through the SERS technique 2-4, where the Raman spectral enhancement arises from a roughened surface of a metal with plasmonic properties (Scheme 2). The most SERS-active metals are Ag, Au and Cu. The first enhanced-Raman spectrum was observed by Fleischmann 4, in this experiment, pyridine was adsorbed on a silver surface electrochemically treated by continuous oxidation-reduction cycles. The effect is based on the enhancement of the inelastic scattering from molecules close to nanoparticles (Scheme 1b); the enhancement is in the range of 10^3 – 10^9 order of magnitude (cross sections of 10^-12 – 10^-16 cm^2 molecule^-1). An important consideration when using metal nanoparticles is the surface charge. Recently, Garrido et al 5 developed new silver nanoparticles to obtain a SERS signal of negatively charged bio-analytes thus improving the uses of the SERS technique. Other SERS substrates were developed by Garcia-Leis and Stamplecoskie 6,7. General methodologies to obtain nanoparticles were reported and widely used 8-10. In this field, an important book about AgNPs, (the most widely used metal nanoparticles), was recently published 11, where several topics in the AgNPs field such as new synthetic routes, nanoparticles for catalysis mediated by plasmon, biomedical uses and anti-microbiological and anti-infective activities of nanoparticles are discussed.

Technological advances have enable Raman spectroscopy branch out in a number of different fields of general science. It is possible to find applications using Raman spectroscopy for instance in the field of space science 12-13, pharmaceutical drugs 14-15, oceanography 16, detection of pesticides 17-19, forensic science 20 and heritage studies 21. Historically, stones, ivory and a variety of polymers were used in China and Egypt as dental implants. Some uses of biomaterials were reported in the 16th and 17th centuries 22. According to Web of Science about 11,000 articles were published from 2006 to 2017 in the field of science technology.

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Scheme 1. a) Raman and b) SERS scattering schemes.

2. Biomaterials.

A biomaterial is defined in a review written by Bhullar et al 23 as a “material that is adapted and used for a medical application”. Historically, stones, ivory and a variety of polymers were used in China and Egypt as dental implants. Some uses of biomaterials were reported in the 16th and 17th centuries 22. According to Web of Science about 11,000 articles were published from 2006 to 2017 in the field of science technology.
contribution of water in the Raman spectrum. This is the condition used to eliminate any signal under these conditions, the water absorption is minimal in comparison to the mid-IR wavelength range. This is the condition used to identify the structural differences between human and sheep bones with implanted synthetic hydroxyapatite. From the spectral data analysis, it was possible to determine that both types of bones have few common vibrational features.

Further, confocal Raman spectroscopy described by Harrington et al. is related to in situ tensile testing to correlate changes in the secondary structure of proteins and supra-molecular structure of the whelk egg capsule (WEC) to mechanical properties in real time. The protein-based material that makes up the WEC was recently recognized because it presents a very remarkable mechanical behavior called pseudo-elasticity (sometimes called super-elasticity). Complex biological materials, such as bone, silk or wood, often exhibit outstanding mechanical properties, a feature that is directly related to their functional adaptations and interactions at multiple hierarchical length scales. Raman spectroscopic imaging, a non-invasive and label-free approach to obtain both chemical (molecular interactions) and structural (orientation) information with sub-micrometer precision, is a powerful tool for the molecular level characterization of such materials

Raman imaging was employed by Gierlinger et al. to investigate the spectral changes due to the orientation, as a basis to determine the microfibril orientation in single cells and cell wall layers. Thus, a fast laboratory method to investigate the microfibril angle (MFA) at the micron level, along with the chemical composition in the cell wall layers of different plant tissues were performed. The MFA of different cell types can be revealed, for example, in parenchyma, vessels and fibres in hard-woods or roots.

In Raman studies of collagen, Masic et al. described the use of polarized Raman microspectroscopic and imaging analyses to elucidate the collagen fibril orientation at various levels of structure in native rat tail tendon under mechanical load. In situ humidity-controlled uniaxial tensile tests have concurrently been performed with Raman confocal microscopy to evaluate strain-induced chemical and structural changes of collagen in tendon. The methodology is based on the sensitivity of specific Raman scattering bands (associated with distinct molecular vibrations, such as the amide I mode) to the orientation and the polarization direction of the incident laser light. The results, based on the change in intensity of the Raman lines as a function of their orientation and polarization, support a model where the crimp and gap regions exhibit outstanding mechanical properties, a feature that is directly related to their functional adaptations and interactions at multiple hierarchical length scales.

The increasing number of works using Raman microspectroscopy to study biomaterials is due to the fact that the Raman spectrum is integrated with an optical microscope, allowing acquiring spectral data from samples without any additional invasive procedure. Penel et al. used this technique to perform the microcharacterization of biomaterials in order to understand the pathophysiological events occurring in calcified tissues and synthetic bioceramics. The authors describe a new methodology to study the composition and structure of membranous bone in New Zealand rabbits. The approach allows simultaneous observation of mineral and organic bone constituents providing insightful information. In the same way, Benett et al. studied the different mineral phases involved in bone formation of the zebrafish larvae.
fish emerged as a model organism to study vertebrate development) by using Confocal Raman microscopy. The spectral results show the presence of hydrogen phosphate containing mineral phases in addition to the carbonated apatite mineral. Another use of the Raman microscopy was reported by Rusciano et al. 36 to investigate the effect of polyhexamethylene biguanide at the single-cell level in Acanthamoeba keratitis a rare and serious disease. In this report, the authors concluded the great potential, rapid, cheap and effective diagnosis of Acanthamoeba keratitis by the analysis of DNA, proteins and lipids in cells by using Raman spectral data.

Raman microscopy also allows two-dimensional (2-D) and three-dimensional (3-D) imaging of a sample, providing a spatially resolved grid of spectra with location-specific fingerprinting of chemical bonds 37. This complementary technique is considered as a high-resolution imaging tool in tissue engineering for in vivo studies. An example of the use of 3D imaging was discussed by Kallepitis et al. 38 in this report, the author exposes the use of Raman imaging and mapping of intracellular biomolecules. This new quantitative Raman imaging (qVR) technique has the potential to investigate cell response and behavior in stem cell research, cancer biology and drug discovery. Authors demonstrate visualization and quantification of fine details in cell shape, cytoplasm, nucleus, lipid bodies and cytoskeletal structures in 3D with unprecedented biomolecular specificity for vibrational microspectroscopy.

3.2. SERS characterization of biomolecules (amino acids, peptides and proteins).

An important aspect in the study of biomaterials is the characterization of the chemical interactions between proteins within the biomaterial. A number of important studies have been published by different groups describing the interaction between amino acids, peptides and proteins with metal surfaces 39-44. The main aspect of these studies was to characterize the analytes by SERS, because these biomolecules are the first line to interact with the biomaterial. Extremely high enhancement levels occur for molecules attached to silver and gold nanoparticles. In two-photon excited Raman spectroscopy, strongly enhanced and highly confined local optical fields enable surface-enhanced Stokes and anti-Stokes Raman spectroscopy of single molecules, even under nonresonant excitation conditions as well as extremely large effective cross sections. The ability for very sensitive and spatially confined molecular structural probing makes gold and silver nanoclusters very promising tools for studies of small structures in biological materials, such as cellular compartments. By SERS, the behavior and the orientation of the biomolecules on the surface were also studied. SERS experiments and quantum mechanical methods (mainly density functional theory, DFT) are used to infer about the adsorption of zwitierionic L-cysteine on silver surface via carboxylate, ammonium and sulphydryl groups 45. The high specificity of this tool shows, for example, the trend of cysteine to form dipeptide in solution. In the case of L-tryptophan, a strong SERS spectrum resulted as consequence of the carboxylate and amino group surface interaction 46. A stable orientation depending on the time settle as a unique spectral response was studied by Aliaga et al. 47. Other studies involved theoretical data deals with amino acids such as L-lysine 48, L-arginine 49, and proline and hydroxyproline 50. SERS experimental data combined with theoretical results obtained from molecular mechanics and Extended Hückel Theory (EHT) for the study of the interaction between the CLPFFD peptide and gold nanoparticles, suggest that phenylalanine displays its aromatic ring coplanar to the surface 51. This information has an important relationship with a previous work 32 involving the role of electromagnetic irradiation on CLPFFD in Alzheimer’s disease. The structure of the C-terminal peptide of pigeon cytochrome C (PCC35-65), a specific antigenic peptide used to study the immune response in vivo 52-55, was deeply characterized. The authors aimed to develop a methodology to determine the influence that individual amino acids have on the interaction between the peptide and Ag metal surfaces. The net charge value and the hydrophilic characteristic of the PCC35-65, fragments were used to infer about the most probable sites of interaction with the metal surface. The negatively charged environment around the aspartic and glutamic acid moieties, suggests that this structural moiety takes place with the guanidinium groups (in arginine) far from SERS shows a spectrum dominated by signals belonging to lysine and tyrosine. Theoretical data come up with the possible orientation of the peptide on the metal surface. Another study describes the interaction of the C-terminal peptide from pigeon cytochrome C with silver nanoparticles 56. A study using the peptide MRKDV (peptide motif of level 4 in Acanthamoeba keratitis) was performed. SERS shows its interaction with silver and a membrane surface 57. The adsorption of the oligopeptides on silver nanoparticles was studied by Garrido et al. 58, where the influence of the amino acid sequence, hydrophobicity, charge of peptides and the relationship with the nanoparticles interface were determined using the peptides models ADEDRDA, LGRGIIL and MRKDV supported by EHT and 6-31G* calculations. In a related study, Wei et al. 59 performed experiments where the protein backbone contribution was used to understand dominant features in Raman and SERS spectra of the WC, YC, FC and CGGROQKIIWFQNNRMKW KK motif. These data shed light on the behavior of proteins, peptides or amino acids in presence of surfaces. Previous studies suggest that the way to develop a new type of biomaterial should be supported by data from a metal-adsorbate SERS model 60.

Moreover, Raman and SERS were employed in the study of human rotator cuff tissues after shockwave treatment 61. Samples of type I and III of rat tail, bovine tail tissues, and human tendon tissue samples were used. The SERS information from 1016 SERS spectra of 52 biopairs of tendon tissues coated by AgNPs was used to understand the role of shockwaves employed in degenerated human tissue treatment. A comparison of the tissues indicates that the main observed differences between both tissue samples before and after the shockwave treatment were related to structural modifications in collagen, probably related to conformational aspects. The minimal spectral differences observed in the Raman spectra of collagen were ascribed to differences in the structural conformation.

A more complex study involved SERS experiments of the C-terminal peptide (37 amino acids) of the β-subunit human chorionic gonadotropin without linked carbohydrates; SERS and theoretical calculations were performed to determine the influence of the individual amino acids. In a sequence, the hydrophobicity and charge of the synthetic peptide, and the nanoparticles interface characteristics, drive the adsorption of the peptide on metal surfaces 62. All of these studies have lead to the conclusion that the metal surface-peptide interactions are mainly governed by the nanoparticles surface charge and the local charge of each amino acid component.

Metal surfaces can be functionalized to detect, for example, persistent organic pollutants. The functionalization of AgNPs by using different compounds such as bis-acridinium lucigenin 63, humic acids 64 and calixarenes 65 was performed. The purpose of these studies was to obtain the SERS spectra of PAHs (polycyclic aromatic hydrocarbons) using those compounds as molecular assemblers.

The characterization of a 15 amino acid neurotransmitter called bombesin, was studied by Święch et al using SERS 66. The authors used this technique to understand the changes in some amino acid geometry when the C-terminal fragment is adsorbed onto colloidial gold nanoparticles. Another peptide studied was the 9 amino acid inflammatory mediator, bradykinin. This compound was studied in the pH range 3-11 using gold colloidial nanoparticles (with 10, 20 and 50 nm diameter) 67. The objectives of this work are focused on the interpretation of the specific interaction of peptides with nanoparticles; the orientation on the surface of the biomolecules depends on the pH condition 68. These results indicate that the SERS methodology is a powerful approach to study these compounds.

Recent published results 69 show the uses of another enhanced technique, the so called Surface-Enhanced Fluorescence (SEF), which is complementary with Raman and SERS, and detection of drugs as it was performed. The purpose of these studies was to obtain the SERS spectra of PAHs (polycyclic aromatic hydrocarbons) using those compounds as molecular assemblers.

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diseases. Important features of SERS include: i) ultra high sensitivity and ii) an important number of biomolecules characterization.

Recently, Pallaro 96 designed a SERS microfluidic channel device, based on a previously reported design, to identify and counts cancer cells using SERS biotag (a cancer-specific marker). In an important study by Maiti et al 97, the authors deal with the use of SERS to detect breast cancer with SK-3 cells. SERS results exhibit the recognition of two different cancer cells with antibody-conjugated nanotags.


SE-SERS (surface-enhanced spatially offset Raman spectroscopy) 98, was developed and used for detection of a Raman reporter molecule through bone using nanotags. The SERS information was collected from a bone of 3 to 8 mm thickness, that is, the average thickness of the human skull. This technique has enormous potential as an in vivo spectroscopy approach and, in the future, as an imaging technique for studying neurochemicals in the brain.

Another recently developed technique, SHINERS (shell-isolated nanoparticles-enhanced Raman spectroscopy) 99, is a tool with a promising future for the characterization and identification of isolated molecules. Only a few articles have been published using this technique. SHINERS uses core@shell nanoparticles with an inert isolated layer of SiO$_2$, Al$_2$O$_3$, or MnO$_2$. Silver or gold nanospheres can be coated to prevent any reaction of the analytes with the nanoparticle surface. In this sense, it is possible to obtain an enhanced spectrum without the chemical mechanism contribution of the metal-nanoparticles interaction. The main importance of isolated-nanoparticles resides in the possibility to obtain a dry nanomaterial to be used in future experiments.

Figure 1a shows the variation of the relative Raman intensity as a function of the thickness of the isolated-shell onto the nanoparticle. When its surface is naked, the SERS spectra have an important resolution with several bands. In the case of the compound C3AF, its aromatic and planar structure shows a fluorescence background in the whole spectrum. The relative intensity of the fluorescence in the SERS and SHINERS spectra becomes smaller as the distance between the molecule and the coated nanoparticle increases. A full characterization using Raman, SERS, SHINERS and theoretical data was published 100 for the sensor 1-(4-mercaptophenyl)-2,4,6-triphenylpyridinium perchlorate, a secondary betaine. The role of coated-nanoparticle is discussed and the electromagnetic contribution to the enhancement effect is concluded. Enhanced Raman signals obtained by using Ag@SiO$_2$ nanoparticles resulted to be 5.1 times major relative to that obtained with naked Ag nanoparticles. An important use of SHINERS has been recently reported by Zheng, who explored the type II micro-calcifications in breast lesions 101. It is important to mention the possibility to enhance the fluorescence using coated metallic nanoparticles. This technique is so called shell-isolated nanoparticles-enhanced fluorescence (SHINEF) 102.

In other cases, stable silver nanoparticles obtained by photo-reduction and coated with collagen were used as a potential anti-microbial agent 103. Experiments with AgNP@collagen showed bactericidal activity against Bacillus megaterium and E. coli, and bacteriostatic activity against staphylococcus epidermises with toxic effects on cells such as fibroblasts and keratinocytes. This type of biomaterial opens the opportunity to study the biological properties of nanoparticles from a SERS viewpoint. The same occurs in the work by Parmasunt 104, where gold and silver nanoparticles were used in leukemic cells studies. The authors explain the role of both nanoparticles inhibiting cell proliferation.

Kethani developed a tool with a prominent future named Hollow Core Photonic Crystal Fiber (HC-PCF) to monitor cells using SERS 105. Mathematical results are treated using the partial least square (PLS) method, a quantitative analysis tool of multivariate analysis. Recent published works of HC-PCF by Kethani 106,107 deals with the effect of volume and size of silver nanoparticles on SERS within HC-PCF and the potential use for monitoring leukemia cells using HC-PCF-SERS. The new technology can detect up to 300 cells/ml, which offers a number of important clinical uses.

An uncharted and highly desirable research area is the one relative to the excitation of SERS in the ultraviolet frequency to study many biological molecules, including protein residues and DNA bases. Metals such as Pd/ Pt 108,109, Ru 110,111, Rh 109,111, Co 112,113 and Al 114 have been explored to find plasmonic properties in the UV region. The problem is the low enhancement factor (~10$^5$ order of magnitude) of these metals in the UV region. Only a few research groups have attempted to obtain UV SERS. For example, Dörfer et al 115 explore the UV-SERS of crystal violet 10$^3$M on a 50 nm thick aluminum surface, while Ciu et al 116 performed a systematic experimental investigation of charge-transfer enhancement of protonated adenine molecules adsorbed on Rh and Pd surfaces. The authors describe three kinds of enhancement mechanisms involved in the total effect: charge-transfer, electromagnetic field and pre-resonance Raman effect. This technique would be highly useful to investigate biological molecules, which have electronic resonances in this wavelength range, resulting in both electronic resonance and SERS enhancements.

SE-FSRS 114 is composed by SERS and femtosecond stimulated Raman spectroscopy FSRS. The first one provides spectroscopic detection of single molecules, while the second one enables the Raman spectra acquisition in the ultrafast time scale of the molecular motion with simultaneous high time (10-100 fs) and spectral (5-20 cm$^{-1}$) resolution, thus tracking the structure of molecules as a function of time 114,115. The first successful combination of these two techniques, demonstrate the SE-FSRS using gold nanotammens with particular embedded molecules. FSRS has been used with hit to follow vibrational dynamics in a wide variety of systems, including proteins 116,117, molecule-nanoparticle conjugates 115, and small molecules 118-21.

In the same way, Keller et al 122 describes the Ultrafast SERS with pico and femtosecond time resolution. This technique has the ability to elucidate the mechanisms by which plasmons mediate chemical reactions. Here, the authors reviewed three important technological advances in these new methodologies SE-FSRS, surface-enhanced coherent anti-Stokes Raman spectroscopy (SE-CARS), and time-resolved SE-CARS (TR-SE-CARS). Also, the authors discuss their prospects for applications in areas including plasmon-induced chemistry and sensing at very low limits of detection.

5. CONCLUSION

Raman and SERS, as well as other related spectroscopic techniques are decidedly useful to investigate structural changes when biomolecules interact with metal surfaces. The obtained information enables to a better understanding of the mechanisms related to the biomolecule/biomaterial interaction, thus allowing ensure a better chemical interaction. This is crucial to develop new biomaterials. The growing number of studies about SERS in the field of biomolecules reveals the importance of understanding the structural behavior of these molecules on biomaterials, the whole deposited on metal nanosstructured surfaces. It is expected that the expansion of the reviewed spectroscopic techniques will rebound in instrumental advances, thus facilitating and increasing the investigation on the molecular structure of new materials.

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